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0300 PR 1743

PTO/SB/21 (08-00)

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number	10/080,274
Filing Date	February 19, 2002
First Named Inventor	Jianming XIAO
Group Art Unit	1743
Examiner Name	To Be Assigned
Attorney Docket No.	473532000620

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Total Number Of Pages In This Submission

18 + 67
references**ENCLOSURES (check all that apply)**

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| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers
(for an Application) | <input type="checkbox"/> After Allowance Communication to Group |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment / Reply | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declarations | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
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Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | 1. Form PTO-1449 - 7 pages with copy |
| <input checked="" type="checkbox"/> Information Disclosure Statement - 3 pages | <input type="checkbox"/> Request for Refund | 2. Seven Reference |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> CD, Number of CD(s) _____ | 3. Receipt Postcard |
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under 37 CFR 1.52 or 1.53 | | |

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TC 1700**SIGNATURE OF APPLICANT, ATTORNEY OR AGENT**

Firm or Individual Name	Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, California 94304-1018
Signature	Charles D. Holland, Reg. No. 35,196
Date	June 5, 2002

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

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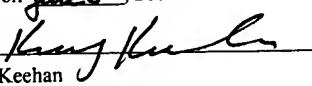
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PATENT
Docket No. 473532/00620

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Jianming XIAO et al.

Serial No.: 10/080,274

Filing Date: February 19, 2002

For: METHOD AND APPARATUS BASED
ON BUNDLED CAPILLARIES FOR
HIGH THROUGHPUT SCREENING

Examiner: To Be Assigned

Group Art Unit: 1743

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INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents numbered 1-28, 65, 66 and 99-132 are also submitted herewith. The documents numbered 29-64, 67-98 and 133-150 were previously submitted in an Information Disclosure Statement directed to the related application Serial Number 09/791,410, filed February 22, 2001, and, accordingly, copies are not included herewith. This protocol conforms with 37 C.F.R. §1.98(d) and M.P.E.P. 609(A)(2). The Examiner is requested to make these documents of record in the application.

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Applicants bring to the attention of the Examiner, document numbers 70 and 72-76 are English-language machine translations of priority documents for document 90. Additionally, Document 71 is an English-language machine translation of a priority document for document 91.

Applicants also bring to the attention of the Examiner the following co-pending applications (copies attached):

1. Application No. 09/791,994, by Shiping Chen et al., filed February 22, 2001;
2. Application No. 09/791,998, by Shiping Chen et al., filed February 22, 2001; and
3. Application No. 09/791,441, by Shiping Chen et al., filed February 22, 2001.

This Information Disclosure Statement is submitted:

- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
 - ☐ A fee is required. A check in the amount of * is enclosed.
 - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly, no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of * is enclosed.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

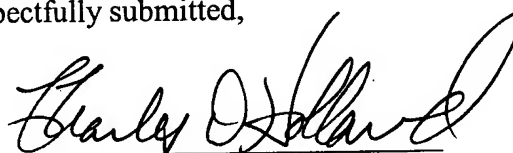
The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicants petition for any required relief including extensions of time and authorize the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing **473532000620**. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: June 5, 2002

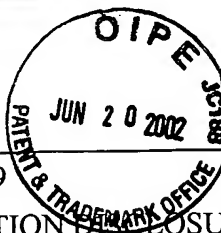
Respectfully submitted,

By:



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Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number 473532000620

Application Number 10/080,274

Applicant

Jianming XIAO et al.

Filing Date February 19, 2002

Group Art Unit 1743

Mailing Date June 5, 2002

U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	12/07/1976	3,996,345	Ullman et al.			
	2.	03/01/1977	4,010,019	Cole et al.			
	3.	11/13/1979	4,174,384	Ullman et al.			
	4.	04/14/1981	4,261,968	Ullman et al.			
	5.	02/21/1989	4,806,631	Carrico et al.			
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	8.	06/26/1990	4,937,188	Giese et al.			
	9.	01/01/1991	4,981,783	Augenlicht			
	10.	02/26/1991	4,996,143	Heller et al.			
	11.	06/18/1991	5,024,933	Yang et al.			
	12.	09/01/1992	5,143,854	Pirrung et al.			
	13.	10/20/1992	5,157,032	Barton			
	14.	06/01/1993	5,215,882	Bahl et al.			
	15.	11/30/1993	5,265,327	Faris et al.			
	16.	01/04/1994	5,276,327	Bossen et al.			
	17.	08/23/1994	5,340,716	Ullman et al.			
	18.	01/24/1995	5,384,261	Winkler et al.			
	19.	07/25/1995	5,436,327	Southern et al.			
	20.	08/29/1995	5,445,934	Fodor et al.			
	21.	12/12/1995	5,474,796	Brennan			
	22.	05/07/1996	5,514,785	Van Ness et al.			
	23.	06/11/1996	5,525,464	Drmanac et al.			
	24.	09/10/1996	5,554,744	Bhongle et al.			
	25.	10/15/1996	5,565,322	Heller			
	26.	10/15/1996	5,565,324	Still et al.			

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INFORMATION DISCLOSURE
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Docket Number 473532000620

Application Number 10/080,274

Applicant

Jianming XIAO et al.

Filing Date February 19, 2002

Group Art Unit 1743

Mailing Date June 5, 2002

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37.	12/23/1997	5,700,637	Southern			
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51.	07/06/1999	5,919,523	Sundberg et al.			
52.	07/20/1999	5,925,517	Tyagi et al.			
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54.	02/22/2000	6,027,873	Schellenberger et al.			
55.	04/11/2000	6,048,695	Bradley et al.			
56.	04/25/2000	6,054,270	Southern			
57.	07/04/2000	6,083,763	Balch			

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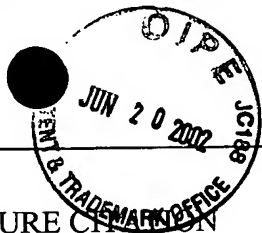
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INFORMATION DISCLOSURE CITE
IN AN APPLICATION

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Docket Number 473532000620

Application Number 10/080,274

Applicant

Jianming XIAO et al.

Filing Date February 19, 2002

Group Art Unit 1743

Mailing Date June 5, 2002

58.	08/15/2000	6,101,946	Martinsky et al.			
59.	08/29/2000	6,110,426	Shalon et al.			
60.	10/24/2000	6,136,962	Shi et al.			
61.	05/15/2001	6,231,733 B1	Nilsson et al.			
62.	05/22/2001	6,235,473 B1	Friedman et al.			
63.	12/11/2001	6,329,144 B1	Kubista et al.			
64.	12/18/2001	6,331,441 B1	Balch et al.			

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	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation	
							YES	NO
65.	01/26/1983	0 070 685	Europe					
66.	05/08/1996	0 710 668	Europe					
67.	11/10/1999	EP 0 955 084	Europe					
68.	11/28/2001	EP 1 157 737 A2	Europe					
69.	01/27/1995	JP 07-023995	Japan				Derwnet Abstract	
70.	09/12/2000	JP 2000-245460	Japan				Machine translation	
71.	09/12/2000	JP 2000-245461	Japan				Machine translation	
72.	10/03/2000	JP 2000-270877	Japan				Machine translation	
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77.	05/22/1997	WO 97/18226	WIPO					
78.	07/09/1998	WO 98/29736	WIPO					
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83.	11/04/1999	WO 99/55460	WIPO					
84.	11/04/1999	WO 99/55461	WIPO					

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INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number 473532000620

Application Number 10/080,274

Applicant

Jianming XIAO et al.

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Group Art Unit 1743

Mailing Date June 5, 2002

85.	01/13/2000	WO 00/01798	WIPO	RECEIVED JUL 05 2002 TC 1700		
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88.	07/27/2000	WO 00/43752	WIPO			
89.	08/31/2000	WO 00/50871	WIPO			
90.	09/14/2000	WO 00/53736 A1	WIPO			Abstract
91.	09/14/2000	WO 00/53739 A1	WIPO			Abstract
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99.	08/30/2001	WO 01/62377	WIPO			
100.	08/30/2001	WO 01/62378	WIPO			

OTHER DOCUMENTS

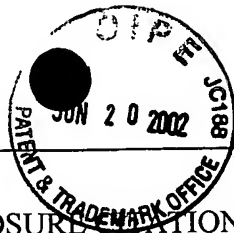
(including author, title, Date, Pertinent Pages, Etc.)

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	101.	Altschul et al. (1990). "Basic Local Alignment Search Tool," <i>J. Mol. Biol.</i> 215:403-410.
	102.	Ayadim et al. (1995). "Photosensitizers Covalently Anchored to the Silica Surface: Modulation of the Excited State Efficiency through Electron Transfer from the Linking Arm or from the Surface," <i>Tetrahedron Letts.</i> 36:4615-4618.
	103.	Bannwarth et al. (1988). "Bathophenanthroline-ruthenium(II) Complexes as Non-Radioactive Labels for Oligonucleotides which Can Be Measured by Time-Resolved Fluorescence Techniques," <i>Helvetica Chimica Acta</i> 71:2085-2099.
	104.	Beier et al. (May 1, 1999). "Versatile Derivatisation of Solid Support Media for Covalent Bonding on DNA-Microchips," <i>Nucl. Acids Res.</i> 27(9):1970-1977.
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INFORMATION DISCLOSURE
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number 473532000620

Application Number 10,880,274

Applicant

Jiaming XIAO et al.

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Group Art Unit 1743

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| 107. | Camilleri, P., ed. (1998). <u>Capillary Electrophoresis: Theory and Practice</u> . Second Edition, CRC Press; New York, NY. 2 pages total (Table of Contents only). |
| 108. | Cardullo et al. (1988). "Detection of Nucleic Acid Hybridization by Nonradiative Fluorescence Resonance Energy Transfer," <i>PNAS USA</i> 85:8790-8794. |
| 109. | Comley et al. (1997). "A 384-HTS for Human Factor VIIa: Comparison With 96- and 864-Well Formats," <i>J. Biomol. Screening</i> 2(3):171-178. |
| 110. | DeRisi et al. (1996). "Use of a cDNA Microarray to Analyse Gene Expression Patterns in Human Cancer," <i>Natural Genetics</i> 14:457-460. |
| 111. | Devereux et al. (1984). "A Comprehensive Set of Sequence Analysis Programs for the VAX," <i>Nucl. Acid Res.</i> 12:387-395. |
| 112. | Dorman et al. (February 2000). "Using Photolabile Ligands in Drug Discovery and Development," <i>Trends Biotechnol.</i> 18:64-77. |
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| 114. | Hermanson, G.T. (1995). <u>Bioconjugate Techniques</u> . Academic Press, pp. ix-xx. (Table of Contents only). |
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| 116. | Ishiguro et al. (1996). "Fluorescence Detection of Specific Sequence of Nucleic Acids by Oxazole Yellow-Linked Oligonucleotides. Homogeneous Quantitative Monitoring of <i>in vitro</i> Transcription," <i>Nucl. Acids Res.</i> 24(24):4992-4997. |
| 117. | Karlsson, K-A. (1986). "Animal Glycolipids as Attachment Sites for Microbes," <i>Chemistry and Physics of Lipids</i> 42:153-172. |
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| 120. | Lemieux, B. et al. (1998). "Overview of DNA Chip Technology," <i>Molecular Breeding</i> , 4:277-289. |
| 121. | McInnes et al. (1990). "Preparation and Uses of Photobiotin," <i>Meth. Enzymol.</i> 184:588-600. |
| 122. | Mirzabekov et al. (2002). "Emerging Array-Based Technologies in Proteomics," <i>Curr. Opin. Chem. Biol.</i> 6:70-75. |
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DATE CONSIDERED:

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Form PTO-1449 INFORMATION DISCLOSURE IN AN APPLICATION (Use several sheets if necessary)	Docket Number 473532000620	Application Number 473532000620
	Applicant Jianming XIAO et al.	
	Filing Date February 19, 2002	Group Art Unit 1743
	Mailing Date June 5, 2002	

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127.	Pearson et al. (1988). "Improved Tools for Biological Sequence Comparison," <i>PNAS USA</i> 85:2444-2448.
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131.	Running et al. (1990). "A Procedure for Productive Coupling of Synthetic Oligonucleotides to Polystyrene Microtiter Wells for Hybridization Capture," <i>BioTechniques</i> 8(3):276-277.
132.	Sano, T. et al. (December 1991). "A Streptavidin-Protein A Chimera that Allows One-Step Production of a Variety of Specific Antibody Conjugates," <i>Bio/Technol.</i> 9:1378-1381.
133.	Schena et al. (1996). "Parallel Human Genome Analysis: Microarray-Based Expression Monitoring of 1000 Genes," <i>PNAS. USA</i> 93:10614-10619.
134.	Schena, M. (1996). "Genome Analysis with Gene Expression Microarrays," <i>BioEssays</i> , 18(5):427-431.
135.	Schena, M. et al. (October 20, 1995). "Quantitative Monitoring of Gene Expression Patterns with a Complementary DNA Microarray," <i>Science</i> 270:467-470.
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IN AN APPLICATION

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Applicant

Jianming XIAO et al.

Filing Date February 19, 2002

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